

# GENERAL REILLY-TYPE INEQUALITIES FOR SUBMANIFOLDS OF WEIGHTED EUCLIDEAN SPACES

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ABSTRACT. We prove new upper bounds for the first positive eigenvalue of a family of second order operators, including the Bakry-Émery Laplacian, for submanifolds of weighted Euclidean spaces.

## 1. INTRODUCTION

A weighted manifold  $(\bar{M}, \bar{g}, \bar{\mu}_f)$  is a Riemannian manifold  $(\bar{M}, \bar{g})$  endowed with a weighted volume form  $\bar{\mu}_f = e^{-f} dv_{\bar{g}}$ , where  $f$  is a real-valued smooth function on  $\bar{M}$  and  $dv_{\bar{g}}$  is the Riemannian volume form associated with the metric  $\bar{g}$ . In the present note, we will focus on the case where  $(\bar{M}, \bar{g})$  is the Euclidean space  $(\mathbb{R}^N, can)$  with its canonical flat metric and we will consider isometric immersions of Riemannian manifolds  $(M^n, g)$  into  $(\mathbb{R}^N, can)$ . For such an immersion, we define the weighted mean curvature vector  $\mathbf{H}_f = \mathbf{H} - (\bar{\nabla} f)^\perp$ , where  $\mathbf{H}$  is the mean curvature vector of the immersion and  $(\bar{\nabla} f)^\perp$  is the projection of  $\bar{\nabla} f$  on the normal bundle  $T^\perp M$ . We can define on  $M$  a divergence and a Laplace operator associated with the volume form  $\mu_f = e^{-f} dv_g$  by

$$\operatorname{div}_f Y = \operatorname{div} Y - \langle \nabla f, Y \rangle \quad \text{and} \quad \Delta_f u = -\operatorname{div}_f(\nabla u) = \Delta u + \langle \nabla f, \nabla u \rangle,$$

where  $\nabla$  is the gradient on  $M$ , that is the projection on  $TM$  of  $\bar{\nabla}$ . We call them the  $f$ -divergence and the  $f$ -Laplacian which is often called Bakry-Émery Laplacian, Witten Laplacian or drifting Laplacian in the litterature. It is a classical fact that  $\Delta_f$  has a discrete spectrum composed of an infinite sequence of nonnegative real numbers

$$0 = \lambda_0 < \lambda_1 \leq \lambda_2 \leq \dots \longrightarrow +\infty.$$

The eigenvalue  $\lambda_0 = 0$  has multiplicity one and corresponds to constant functions. In [4], Batista, Cavalcante and Pyo proved the following upper bound for the first positive eigenvalue of  $\Delta_f$ :

$$\lambda_1(\Delta_f) \leq \frac{\int_M \|\mathbf{H}_f - \bar{\nabla} f\|^2 \mu_f}{n \operatorname{Vol}_f(M)} = \frac{\int_M (\|\mathbf{H}\|^2 + \|\nabla f\|^2) \mu_f}{n \operatorname{Vol}_f(M)},$$

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where  $\text{Vol}_f(M) = \int_M \mu_f$  is the  $f$ -volume of  $M$ . This inequality is a weighted version of the classical Reilly inequality (see [9])

$$\lambda_1(\Delta) \leq \frac{1}{n \text{Vol}(M)} \int_M \|\mathbf{H}\|^2 dv_g.$$

Very recently, Domingo-Juan and Miquel [6] obtained the same inequality with a more complete characterization of the equality case by the use of mean curvature flow.

The aim of this note is to give a general inequality, which contains the above one, for a larger class of  $f$ -divergence-type operators. Precisely, for a positive symmetric divergence-free  $(1, 1)$ -tensor  $T$ , we define the operator  $L_{T,f}$  by

$$L_{T,f}u = -\text{div}_f(T\nabla u),$$

for any  $\mathcal{C}^2$  function  $u$  on  $M$ . We prove the following theorem.

**Theorem 1.1.** *Let  $(M^n, g)$  be a connected and oriented closed Riemannian manifold isometrically immersed into the Euclidean space  $\mathbb{R}^N$  endowed with a density  $e^{-f}$ . Let  $S$  and  $T$  be two symmetric divergence-free  $(1, 1)$ -tensor over  $M$ . Assume moreover that  $T$  is positive. Then, the first positive eigenvalue of the operator  $L_{T,f}$  satisfies the following inequality*

$$\lambda_1(L_{T,f}) \left( \int_M \text{tr}(S)\mu_f \right)^2 \leq \left( \int_M \text{tr}(T)\mu_f \right) \int_M (\|H_S\|^2 + \|S\nabla f\|^2)\mu_f.$$

Moreover, if equality holds in the case  $S = \text{Id}$  then  $M$  is a self-shrinker for the mean curvature flow and  $f|_M = a - \frac{\epsilon}{2}r_p^2$ , where  $r_p$  is the Euclidean distance to the center of mass  $p$  of  $M$ . In particular, if  $n = N - 1$  and  $H > 0$  or  $n = 2$ ,  $N = 3$  and  $M$  is embedded and has genus 0, then  $M$  a geodesic hypersphere.

As a corollary, we obtain a similar inequality for submanifolds of the sphere  $\mathbb{S}^N$  which generalizes the corresponding inequality of [4] and [6] for the operator  $L_{T,f}$  (see Corollary 4.4). We also prove a general non-weighted Reilly-type inequality (Theorem 5.1).

## 2. PRELIMINARIES

Let  $(M^n, g)$  be a connected and oriented closed Riemannian manifold isometrically immersed into  $\mathbb{R}^N$ . We denote by  $X$  its position vector,  $B$  its second fundamental form and  $\mathbf{H} = \text{tr}(B)$  its mean curvature vector. For the case of hypersurfaces, we will also consider the real-valued mean curvature  $H = \langle \mathbf{H}, \nu \rangle$ , where  $\nu$  is a unit normal vector field ( $H$  is defined up to a sign depend of the choice of  $\nu$ ). We denote by  $\{\partial_1, \dots, \partial_N\}$  the canonical frame of  $\mathbb{R}^N$  and for  $k \in \{1, \dots, N\}$ ,  $X^k = \langle X, \partial_k \rangle$  the coordinate functions. We begin by giving the following elementary lemma.

**Lemma 2.1.** *If  $A$  is a field of endomorphisms on  $M$ , we have*

$$\sum_{k=1}^N \langle A(\nabla X^k), \nabla X^k \rangle = \text{tr}(A).$$

**Proof:** Let  $\{e_1, \dots, e_n\}$  be a local orthonormal frame of  $TM$ . It is a classical fact that  $\nabla X^k = \partial_k^\top = \sum_{i=1}^n \langle \partial_k, e_i \rangle e_i$ . Hence, we have

$$\begin{aligned} \sum_{k=1}^N \langle A(\nabla X^k), \nabla X^k \rangle &= \sum_{k=1}^N \sum_{i,j=1}^n \langle \partial_k, e_j \rangle \langle \partial_k, e_j \rangle \langle Ae_i, e_j \rangle \\ &= \sum_{i,j=1}^n \left( \sum_{k=1}^N \langle \partial_k, e_j \rangle \langle \partial_k, e_j \rangle \right) \langle Ae_i, e_j \rangle \\ &= \sum_{i,j=1}^n \langle e_i, e_j \rangle \langle Ae_i, e_j \rangle = \text{tr}(A). \end{aligned}$$

□

Note that, in particular, for  $A = \text{Id}$ , we recover the well known identity  $\sum_{k=1}^N \|\nabla X^k\|^2 = n$ .

Then, we recall briefly by some basic facts about the  $f$ -divergence. We first have the weighted version of the divergence theorem:

$$(1) \quad \int_M \text{div}_f Y \mu_f = 0,$$

for any vector field  $Y$  on  $M$ . From this, we deduce easily the integration by parts formula

$$(2) \quad \int_M u \text{div}_f Y \mu_f = - \int_M \langle \nabla u, X \rangle \mu_f,$$

for any smooth function  $u$  and any vector field  $Y$  on  $M$ .

Now, let  $T$  be a divergence-free symmetric  $(1,1)$ -tensor. We associate with  $T$  the second order differential operator  $L_T$  defined by  $L_T u := -\text{div}(T\nabla u)$ , for any  $\mathcal{C}^2$  function  $u$  on  $M$ . We also associate with  $T$  the following normal vector field:

$$(3) \quad H_T = \sum_{i,j=1}^n T(e_i, e_j) B(e_i, e_j),$$

where  $\{e_1, \dots, e_n\}$  is a local orthonormal frame of  $TM$ . We also defined a corresponding weighted operator by  $L_{T,f} u = -\text{div}_f(T\nabla u)$  for any  $\mathcal{C}^2$  function  $u$ . We have the following weighted Hsiung-Minkowski formula.

**Lemma 2.2.** *We have*

$$\int_M (\langle X, H_T - T\nabla f \rangle + \text{tr}(T)) \mu_f = 0.$$

**Proof:** First, it is well known that  $L_T X = -H_T$ . The proof of this fact is standard and completely analogue to the case  $T = \text{Id}$ , that is,  $\Delta X = -n\mathbf{H}$  and uses the fact that  $\text{div}(T) = 0$ . From this, we deduce

$$\begin{aligned} L_T \|X\|^2 &= \sum_{k=1}^N L_T ((X^k)^2) \\ &= -2 \sum_{k=1}^N \text{div}(X^k T(\nabla X^k)) \\ &= 2 \sum_{k=1}^N (X^k L_T X^k - \langle \nabla X^k, T(\nabla X^k) \rangle) \\ &= -2 \langle X, H_T \rangle - 2 \text{tr}(T), \end{aligned}$$

where we have used  $L_T X = -H_T$  and Lemma 2.1 for the last line. Therefore, we get

$$\begin{aligned} \frac{1}{2} L_{T,f} \|X\|^2 &= \frac{1}{2} L_T \|X\|^2 + \frac{1}{2} \langle T(\nabla \|X\|^2), \nabla f \rangle \\ &= -\langle X, H_T \rangle - \text{tr}(T) + \frac{1}{2} \langle \nabla \|X\|^2, T\nabla f \rangle, \\ &= -\langle H_T - T\nabla f, X \rangle - \text{tr}(T) \end{aligned}$$

where we have used (4), the symmetry of  $T$  and the fact that  $\nabla \|X\|^2 = 2X^\top$ . We conclude by integrating over  $M$  for the measure  $\mu_f$  and using the fact that  $\int_M L_{T,f} \|X\|^2 \mu_f = 0$  by (1).  $\square$

We can obtain a weighted Hsiung-Minkowski inequality by the use of the operator  $L_{T,f}$ . Namely, we prove the following lemma.

### 3. PROOF OF THEOREM 1.1

Now, we have all the ingredients to prove the main theorem of this note. First, since we assume that the tensor  $T$  is positive, the operator  $L_{T,f}$  has a discrete nonnegative spectrum. The first eigenvalue is  $\lambda_0 = 0$  is of multiplicity one and the associated eigenfunctions are the constants. Thus, we denote by  $\lambda_1(L_{T,f})$  its first positive eigenvalue. From the definition of  $L_{T,f}$  and (2) we have the following the variational characterization of  $\lambda_1(L_{T,f})$

$$\lambda_1(L_{T,f}) = \inf \left\{ \frac{\int_M \langle T\nabla u, \nabla u \rangle \mu_f}{\int_M u^2 \mu_f} \mid u \in C^\infty(M), \int_M u \mu_f = 0 \right\}.$$

Up to a translation if needed, we may assume that the  $\mu_f$ -center of mass of  $M$  is zero, that is,  $\int_M X \mu_f = \vec{0}$ . Hence, the coordinates can be used as test functions in the Rayleigh quotient and we have

$$\lambda_1(L_{T,f}) \int_M \|X\|^2 \mu_f \leq \int_M \sum_{i=1}^N \langle T \nabla X^i, X^i \rangle \mu_f,$$

which gives, by Lemma 2.1,

$$(4) \quad \lambda_1(L_{T,f}) \int_M \|X\|^2 \mu_f \leq \int_M \operatorname{tr}(T) \mu_f.$$

Now, we have

$$\begin{aligned} \lambda_1(L_{T,f}) \left( \int_M \operatorname{tr}(S) \mu_f \right)^2 &\leq \lambda_1(L_{T,f}) \left( \int_M (\langle X, H_S - S \nabla f \rangle) \mu_f \right)^2 \\ &\leq \lambda_1(L_{T,f}) \left( \int_M \|X\|^2 \mu_f \right) \left( \int_M \|H_S - S \nabla f\|^2 \mu_f \right) \\ &\leq \left( \int_M \operatorname{tr}(T) \mu_f \right) \left( \int_M \|H_S - S \nabla f\|^2 \mu_f \right), \end{aligned}$$

where we have used succesively the weighted Hsiung-Minkowski formula, the Cauchy-Schwarz inequality and (4). Since  $H_S$  is normal and  $S \nabla f$  is tangent to  $M$ , we get the wanted upper bound

$$\lambda_1(L_{T,f}) \left( \int_M \operatorname{tr}(S) \mu_f \right)^2 \leq \left( \int_M \operatorname{tr}(T) \mu_f \right) \int_M (\|H_S\|^2 + \|S \nabla f\|^2) \mu_f.$$

**Equality case.** Now, we assume that  $S = \operatorname{Id}$ . Then, the inequality becomes

$$\lambda_1(L_{T,f}) \leq \left( \int_M \operatorname{tr}(T) \mu_f \right) \int_M (\|\mathbf{H}\|^2 + \|\nabla f\|^2) \mu_f.$$

If, equality occurs then all the above inequalities are equalities. In particular, equality occurs in the Cauchy-Schwarz inequality and we have  $\mathbf{H} - \nabla f = cX$  for some constant  $c$ . Identifying tangential and normal parts, we get  $\nabla f = -cX^\top$  and  $\mathbf{H} = cX^\perp$ .

The normal equation  $\mathbf{H} = cX^\perp$  is exactly the definition of a self-similar solution of the mean curvature flow. Since  $M$  is a compact submanifold of  $\mathbb{R}^N$ ,  $c$  cannot be zero. The case  $c > 0$  is no more possible. Indeed, if  $c > 0$ , then  $M$  is a self-expander, but it is well known that there exists no compact self-expander. Hence, the only possibility is  $c < 0$ , that is  $M$  is a self-shrinker.

In addition, since  $X^\top = \frac{1}{2} \nabla \|X\|^2$ , the tangential equation becomes  $\nabla(f + \frac{c}{2} \|X\|^2) = 0$ . Since  $M$  is connected, there exists a constant  $a$  such that  $f|_M = a - \frac{c}{2} \|X\|^2$ .

In the particular cases  $N = n - 1$  and  $H > 0$  or  $n = 2$ ,  $N = 3$  and  $M$  is embedded

and has genus 0, then we know from [8] and [5] respectively that  $M$  has to be a geodesic hypersphere. This finishes the proof of the equality case.

#### 4. SOME COROLLARIES

In this section, we state some corollaries obtained from Theorem 1.1. The first corollary is just a particular case of Theorem 1.1 involving higher order mean curvatures. We before stating it, we recall briefly the definition of higher order mean curvatures and their associated tensors. For  $r \in \{1, \dots, n\}$ , we set

$$T_r = \frac{1}{r!} \sum_{\substack{i, i_1, \dots, i_r \\ j, j_1, \dots, j_r}} \epsilon \left( \begin{matrix} i, i_1, \dots, i_r \\ j, j_1, \dots, j_r \end{matrix} \right) \langle B_{i_1 j_1} B_{i_2 j_2} \rangle \cdots \langle B_{i_{r-1} j_{r-1}} B_{i_r j_r} \rangle e_i^* \otimes e_j^*,$$

if  $r$  is even and

$$T_r = \frac{1}{r!} \sum_{\substack{i, i_1, \dots, i_r \\ j, j_1, \dots, j_r}} \epsilon \left( \begin{matrix} i, i_1, \dots, i_r \\ j, j_1, \dots, j_r \end{matrix} \right) \langle B_{i_1 j_1} B_{i_2 j_2} \rangle \cdots \langle B_{i_{r-1} j_{r-1}} B_{i_r j_r} \rangle B_{i_r, j_r} \otimes e_i^* \otimes e_j^*,$$

where the  $B_{ij}$ 's are the coefficients of the second fundamental form  $B$  in a local orthonormal frame  $\{e_1, \dots, e_n\}$  and  $\epsilon$  is the standard signature for permutations. Here,  $\{e_1^*, \dots, e_n^*\}$  is the dual coframe of  $\{e_1, \dots, e_n\}$ . By definition, the  $r$ -th mean curvature is  $H_r = \frac{1}{c(r)} \text{tr}(T_r)$ , where  $c(r) = (n-r) \binom{n}{r}$ . Note that  $H_r$  is a real function if  $r$  is even and a normal vector field if  $r$  is odd. By convention, we set  $H_0 = 1$ . Moreover, always if  $r$  is even, we show easily that  $H_{T_r} = c(r)H_{r+1}$ , where  $H_{T_r}$  is given by the relation (3).

In the case of hypersurfaces, we can consider the higher order mean curvatures as scalar functions also for odd indices by taking  $B$  as the real-valued second fundamental form.

By the symmetry of  $B$ , these tensors are clearly symmetric. Moreover, we have the following well-known lemma (the proof of this lemma can be found in [7] for instance).

- Lemma 4.1.** (1) *If  $n = N - 1$ , then for any  $r \in \{0, \dots, n - 1\}$ , we have  $\text{div}(T_r) = 0$ .*  
(2) *If  $n \leq N - 2$ , then for any even  $r \in \{0, \dots, n - 1\}$ , we have  $\text{div}(T_r) = 0$ .*

The tensor  $T_r$  is the linearized operator associated with the  $r$ -th mean curvature and plays a crucial role in the study of the  $r$ -stability of hypersurfaces with constant  $r$ -th mean curvature (see [1] for instance).

We can state the following corollary obtained immediately from Theorem 1.1,

since the tensors  $T_r$  are divergence-free. Note that this corollary is a weighted version of an inequality of Alias and Malacarne [2].

**Corollary 4.2.** *Let  $(M^n, g)$  be a connected and oriented closed Riemannian manifold isometrically immersed into the Euclidean space  $\mathbb{R}^N$  endowed with a density  $e^{-f}$ . Let  $r, s \in \{1, \dots, n-1\}$ . Assume that  $r$  and  $s$  are even if  $N > n-1$  and assume moreover that  $T_r$  is positive. Then, the first positive eigenvalue of the operator  $L_{r,f} = L_{T_r,f}$  satisfies the following inequality*

$$\lambda_1(L_{r,f}) \left( \int_M H_s \mu_f \right)^2 \leq \frac{c(r)}{c(s)} \left( \int_M H_r \mu_f \right) \int_M \left( c(s)^2 \|H_{s+1}\|^2 + \|T_s \nabla f\|^2 \right) \mu_f.$$

**Remark 4.3.** *In the case of hypersurfaces, it is sufficient to have  $H_{r+1} > 0$  to ensure that  $T_r$  is positive (see [3] for instance).*

Now, using the embedding of the sphere  $\mathbb{S}^N$  into the Euclidean space  $\mathbb{R}^{N+1}$ , we can prove this second corollary for submanifolds of the sphere  $\mathbb{S}^N$ . Precisely, we have the following result.

**Corollary 4.4.** *Let  $(M^n, g)$  be a connected and oriented closed Riemannian manifold isometrically immersed into the sphere  $\mathbb{S}^N$  endowed with a density  $e^{-f}$ . Let  $S$  and  $T$  be two symmetric divergence-free  $(1, 1)$ -tensor over  $M$ . Assume moreover that  $T$  is positive. Then, the first positive eigenvalue of the operator  $L_{T,f}$  satisfies the following inequality*

$$\lambda_1(L_{T,f}) \left( \int_M \text{tr}(S) \mu_f \right)^2 \leq \left( \int_M \text{tr}(T) \mu_f \right) \int_M \left( \|H_S\|^2 + \text{tr}(S)^2 + \|S \nabla f\|^2 \right) \mu_f.$$

**Proof:** The proof comes easily from Theorem 1.1. We denote by  $\phi$  the immersion of  $M$  into  $\mathbb{S}^N$  and we consider the canonical immersion  $i$  of  $\mathbb{S}^N$  into  $\mathbb{R}^{N+1}$  and we extend the weight  $f$  defined on  $\mathbb{S}^N$  to a weight  $\tilde{f}$  on  $\mathbb{R}^{N+1}$ , for instance by taking  $\tilde{f}(x) = |x|f\left(\frac{x}{|x|}\right)$  for any  $x \in \mathbb{S}^N$  and  $\tilde{f}(0) = 0$ . From Theorem 1.1 we have

$$(5) \quad \lambda_1(L_{T,f}) \left( \int_M \text{tr}(S) \mu_f \right)^2 \leq \left( \int_M \text{tr}(T) \mu_f \right) \int_M \left( |H'_S|^2 + |S \nabla \tilde{f}|^2 \right) \mu_f,$$

where  $H'_S$  is defined by  $H_S = \sum_{i,j=1}^n S(e_i, e_j) B'(e_i, e_j)$  with  $B'$  the second fundamental form of the immersion of  $M$  into  $\mathbb{R}^{N+1}$ . Obviously, the second fundamental forms  $B$  of  $\phi$  and  $B'$  of  $i \circ \phi$  are linked by the relation  $B' = B - g\phi$ . Hence, we get immediately  $H'_S = H_S - \text{tr}(S)\phi$ . Therefore, we deduce that  $\|H'_S\|^2 = \|H_S\|^2 + \text{tr}(S)^2$ , since  $H_S$  and  $\phi$  are orthogonal and  $\|\phi\| = 1$  since  $M$  is contained in the sphere  $\mathbb{S}^N$ . Reporting this in (5), and since  $f$  coincides with  $\tilde{f}$  on  $M$ , we have  $\nabla \tilde{f} = \nabla f$  and so

$$\lambda_1(L_{T,f}) \left( \int_M \text{tr}(S) \mu_f \right)^2 \leq \left( \int_M \text{tr}(T) \mu_f \right) \int_M \left( \|H_S\|^2 + \text{tr}(S)^2 + \|S \nabla f\|^2 \right) \mu_f.$$

This concludes the proof.  $\square$

For submanifolds of spheres, we have immediately the following corollary involving higher order mean curvatures.

**Corollary 4.5.** *Let  $(M^n, g)$  be a connected, oriented closed Riemannian manifold isometrically immersed into the sphere  $\mathbb{S}^N$  endowed with a density  $e^{-f}$ . Let  $r, s \in \{1, \dots, n-1\}$ . Assume that  $r$  and  $s$  are even if  $N > n-1$  and assume moreover that  $T_r$  is positive. Then, the first eigenvalue of the operator  $L_{r,f}$  satisfies the following inequality*

$$\lambda_1(L_{r,f}) \left( \int_M H_s \mu_f \right)^2 \leq \frac{c(r)}{c(s)} \left( \int_M H_r \mu_f \right) \int_M \left( c(s)^2 \|H_{s+1}\|^2 + c(s)^2 H_s^2 + \|T_s \nabla f\|^2 \right) \mu_f.$$

## 5. A GENERAL NON-WEIGHTED INEQUALITY

In the classical case, that is, without density, the equality case can be characterized in a more rigid way. Namely, we have the following result

**Theorem 5.1.** *Let  $(M^n, g)$  be a connected, oriented closed Riemannian manifold isometrically immersed into  $\mathbb{R}^N$ . Assume that  $M$  is endowed with two symmetric and divergence-free  $(1, 1)$ -tensors  $S$  et  $T$ . Assume in addition that  $T$  is positive definite. Then, the first positive eigenvalue of the operator  $L_T$  satisfies*

$$(6) \quad \lambda_1(L_T) \left( \int_M \operatorname{tr}(S) dv_g \right)^2 \leq \left( \int_M \operatorname{tr}(T) dv_g \right) \left( \int_M \|H_S\|^2 dv_g \right).$$

Moreover, if  $N > n-1$  and  $H_S$  does not vanish identically and equality occurs, then  $\operatorname{tr}(S)$  and  $\|H_S\|$  are non-zero constants and  $M$  is  $S$ -minimally immersed into a geodesic hypersphere of  $\mathbb{R}^N$  of radius  $\frac{|\operatorname{tr}(S)|}{\|H_S\|}$ .

In particular, if  $n = N-1$  and  $H_S$  does not vanish identically then if equality holds, then  $\operatorname{tr}(S)$  and  $H_S$  are non-zero constants and  $M$  is a geodesic hypersphere of radius  $\frac{|\operatorname{tr}(S)|}{|H_S|}$ .

**Remarks 5.2.** (1) Note that for this theorem, contrary to Theorem 1.1, we do not need to assume that  $M$  is embedded to characterize the equality case, the embedding is obtained as a consequence.

(2) For  $T = \operatorname{Id}$ , we have

$$\lambda(\Delta) \left( \int_M \operatorname{tr}(S) dv_g \right)^2 \leq n \operatorname{Vol}(M) \left( \int_M \|H_S\|^2 dv_g \right),$$

which was proved by Grosjean in [7].

**Proof:** The inequality is immediate from Theorem 1.1 with  $f$  identically zero. If equality occurs, then all the above inequalities in the proof of Theorem 1.1 become equalities. In particular, we have  $H_S = cX$  from the equality case of Cauchy-Schwarz



inequality, where  $c$  is a non-zero constant. This means that the position vector  $X$  is everywhere normal to  $M$ . But, on the other hand, since  $\nabla\|X\|^2 = 2X^\top$ , we get that  $\nabla\|X\|^2 = 0$ . Hence, since  $M$  is connected, then  $\|X\| = r$  is constant and  $M$  lies in a geodesic hypersphere of radius  $r$ . Moreover, since  $H_S = cX$ , we get that  $\|H_S\|$  is also constant and from Equation (4), we conclude that  $\text{tr}(S) = -\langle X, H_S \rangle = -\frac{1}{c}\|H_S\|^2$ . Thus,  $\text{tr}(S)$  is also constant. Note that, since we assume that  $H_S$  does not vanish identically,  $\text{tr}(S)$  and  $\|H_S\|$  are non-zero constants and we have  $r = \frac{|\text{tr}(S)|}{\|H_S\|}$ .

Now, we will show that the immersion of  $M$  in this hypersphere  $\mathbb{S}^{N-1}(r)$  is  $S$ -minimal, that is,  $\tilde{H}_S = 0$ , where is defined by

$$H_S = \sum_{i,j=1}^n S(e_i, e_j) \tilde{B}(e_i, e_j),$$

with  $\tilde{B}$  the second fundamental form of  $M$  in  $\mathbb{S}^{N-1}(r)$ . Clearly, we have  $B = \tilde{B} + \bar{B}$  where  $\bar{B}$  is the second fundamental form of  $\mathbb{S}^{N-1}$  into  $\mathbb{R}^N$  and is given by  $\bar{B}_{ij} = -\frac{1}{r^2}\delta_{ij}X$ . From this fact and the definition of  $H_S$  and  $\tilde{H}_S$ , we get

$$\begin{aligned} H_S &= \tilde{H}_S - \frac{1}{r^2} \sum_{i,j}^n S(e_i, e_j) \delta_{ij} X \\ &= \tilde{H}_S - \frac{1}{r^2} \text{tr}(S) X \\ &= \tilde{H}_S - \frac{|H_S|^2}{\text{tr}(S)} X \\ &= \tilde{H}_S + cX = \tilde{H}_S + H_S. \end{aligned}$$

We deduce that  $\tilde{H}_S = 0$ , that is  $M$  is  $S$ -minimally immersed into  $\mathbb{S}^{N-1}(r)$ .

If  $n = N - 1$ , if equality occurs, by the above discussion and since  $M$  has no boundary, then  $M$  is  $\mathbb{S}^{N-1}(r)$ . This concludes the proof.  $\square$

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